



11321-P012USD11

PATENT

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Richard E. Smalley et al.

Group Art Unit: 1754

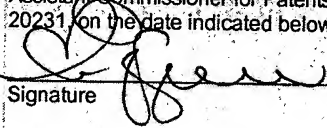
Serial No.: 10/032,726

Filed: December 28, 2001

Title: METHOD FOR GROWING SINGLE-WALL CARBON  
NANOTUBES UTILIZING SEED MOLECULES

Under 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on the date indicated below.

  
Signature

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

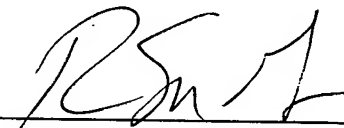


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PATENT

A copy of each of the items identified on the attached Form PTO-1449 is supplied herewith, except for the pending patent applications, for which no copies are being submitted.

Respectfully submitted,

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In Place of FORM PTO-1449 (Modified)

Serial No.: 10/032,726  
 Applicants: Richard E. Smalley et al.  
 Filing Date: December 28, 2001  
 Group: 1754  
 Atty. Docket No.: 11321-P012USD11

**LIST OF PATENTS AND PUBLICATIONS FOR  
 APPLICANTS' INFORMATION DISCLOSURE  
 STATEMENT**

Reference Designation

**U.S. PATENT DOCUMENTS**

| Examiner Initial | Document Number | Date | Name | Class | Subclass | Filing Date if Appropriate |
|------------------|-----------------|------|------|-------|----------|----------------------------|
| AAA              |                 |      |      |       |          |                            |

**FOREIGN PATENT DOCUMENTS**

| Examiner Initial | Document Number | Date       | Country  | Class | Subclass | Translation<br>Yes No |
|------------------|-----------------|------------|----------|-------|----------|-----------------------|
| ABA              | EP 1 176 234 A2 | 12/05/1993 | European |       |          |                       |

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiner  
Initial

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Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.